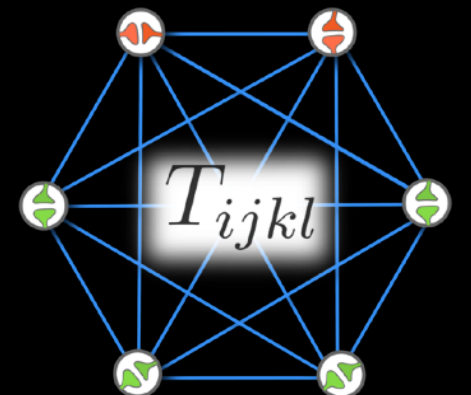


# Modern Methods in Associative Memory

*Dmitry Krotov*   *Benjamin Hoover*   *Parikshit Ram*

$$E = - \sum_{\mu=1}^K F \left( \sum_{i=1}^D \xi_i^\mu \sigma_i \right)$$



# What is Associative Memory?

## Association

Connect inputs to impose structure on a complex world



Shape+color with universal meaning



Name that movie!



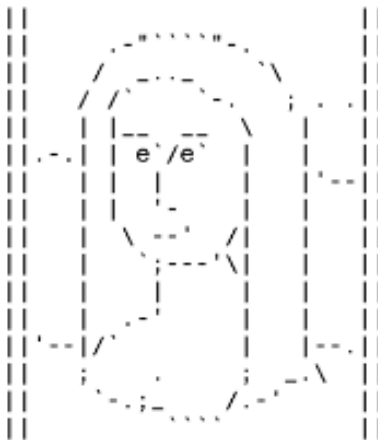
What does this picture "smell" like?

## Memory

Leverage association to recall missing information



Who is this?



What color is her hair?

## Error Correction

Filter corruption to detect meaning behind the noise

Aoccdrnig to a  
rscheearch sdtuy at  
Cmabrigde Uinervtisy, it  
deons't mtttaer in waht  
oredr the ltteers in a  
wrod are, the olny  
iprmoetnt tihng is taht  
the frist and lsat ltteer be  
at the rghit pclae. The  
rset can be a toatl mses  
and you can sitll raed it  
wouthit pobrelm.

## Associative Memory

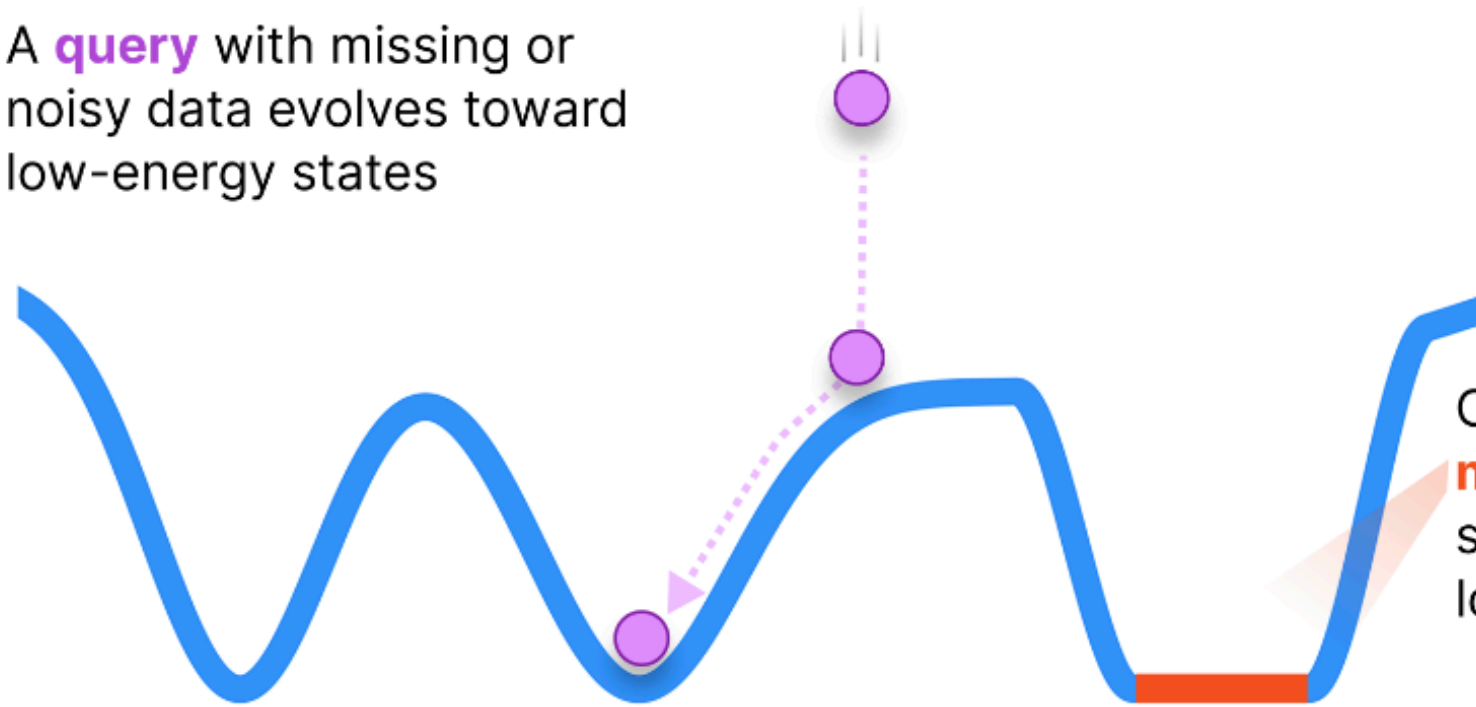
Content-addressable information storage  
systems capable of error correction

# Energy-based Associative Memory

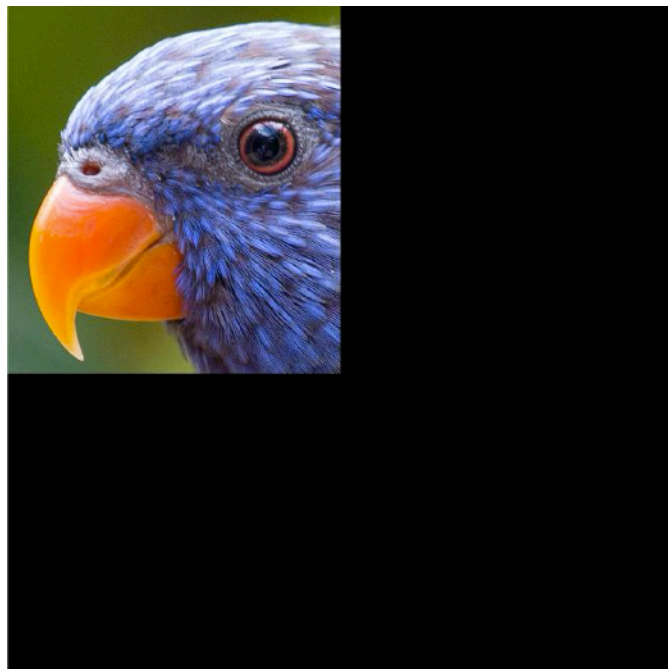
Unifies these three ideas via **energy** minimization



A **query** with missing or noisy data evolves toward low-energy states

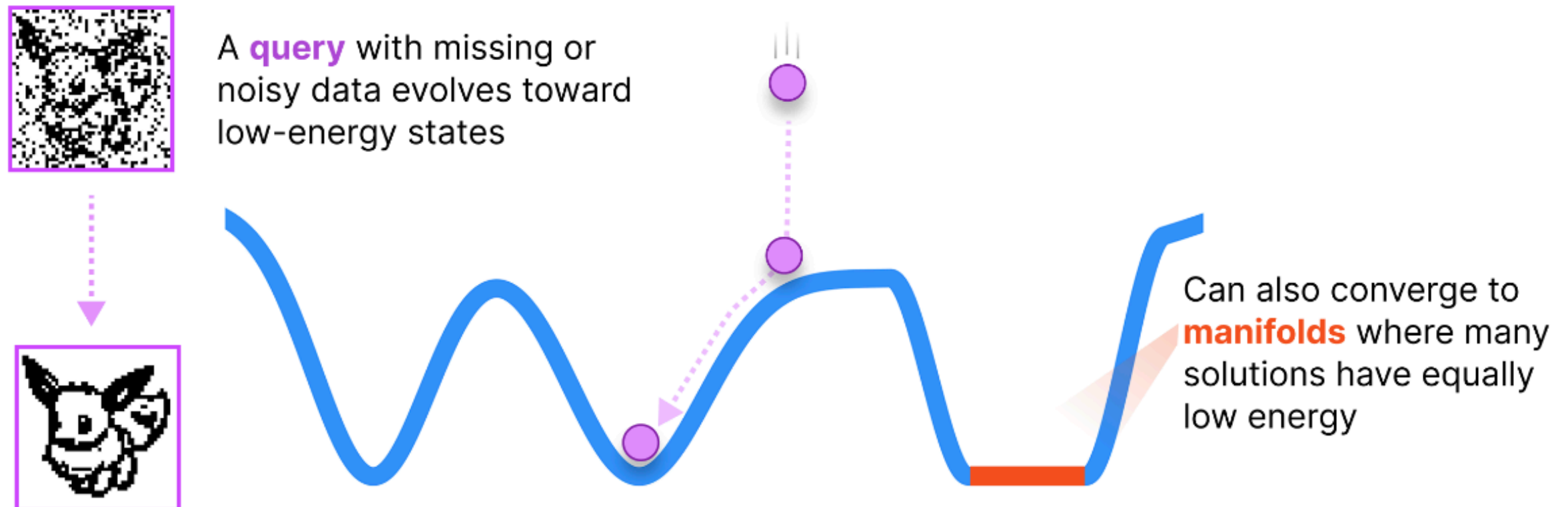


Can also converge to **manifolds** where many solutions have equally low energy



# Energy-based Associative Memory

Unifies these three ideas via **energy** minimization



- Local minima are called **memories**.
- Non-linear dynamics of energy descent - the process of **memory recall**.
- **Association** happens through this non-linear dynamics between the state at  $t=0$  and the final state at convergence.



# Hopfield Network

$$E = - \sum_{\mu=1}^K \left( \sum_{i=1}^D \xi_i^{\mu} \sigma_i \right)^2 = - \sum_{i,j=1}^D \sigma_i T_{ij} \sigma_j$$

$$T_{ij} = \sum_{\mu=1}^K \xi_i^{\mu} \xi_j^{\mu}$$

$\sigma_i \in \{\pm 1\}$  - dynamical variables (neurons)

$\xi_i^{\mu}$  - memorized patterns

$D$  - number of neurons

$K$  - number of memories

$$K^{\max} \approx 0.14D$$

# Dense Associative Memory

$$E = - \sum_{\mu=1}^K F \left( \sum_{i=1}^D \xi_i^{\mu} \sigma_i \right) = - \sum_{i_1, i_2, \dots, i_n} T_{i_1 i_2 \dots i_n} \sigma_{i_1} \sigma_{i_2} \dots \sigma_{i_n}$$

$$T_{i_1 i_2 \dots i_n} = \sum_{\mu=1}^K \xi_{i_1}^{\mu} \xi_{i_2}^{\mu} \dots \xi_{i_n}^{\mu}$$

$F(x) = x^n$  - separation function

$$K^{\max} \approx \alpha_n D^{n-1}$$

$$K^{\max} \approx 2^{\frac{D}{2}}$$

# Update rule for energy decent

$$\sigma_i^{(t+1)} = \operatorname{argmin}_{b \in \{-1,1\}} \left[ E \left( \sigma_i = b, \sigma_{j \neq i} = \sigma_j^{(t)} \right) \right]$$

$$\sigma_i^{(t+1)} = \operatorname{Sign} \left[ \sum_{\mu=1}^K \left( F \left( \xi_i^\mu + \sum_{j \neq i} \xi_j^\mu \sigma_j^{(t)} \right) - F \left( -\xi_i^\mu + \sum_{j \neq i} \xi_j^\mu \sigma_j^{(t)} \right) \right) \right]$$

$$F \left( \xi_i^\mu + \sum_{j \neq i} \xi_j^\mu \sigma_j^{(t)} \right) \approx F \left( \sum_{j \neq i} \xi_j^\mu \sigma_j^{(t)} \right) + \xi_i^\mu \frac{dF}{dx} \Big|_{x = \sum_{j \neq i} \xi_j^\mu \sigma_j^{(t)}}$$

+ small higher order terms

$$\sigma_i^{(t+1)} = \operatorname{Sign} \left[ \sum_{\mu=1}^K \xi_i^\mu f \left( \sum_{j \neq i} \xi_j^\mu \sigma_j^{(t)} \right) \right] \quad \text{where} \quad f(x) = \frac{dF}{dx}$$

# How many memories can we store?

Imagine that memories are random binary vectors

$$\xi_i^\mu = \begin{cases} +1, & \text{with probability } \frac{1}{2} \\ -1, & \text{with probability } \frac{1}{2} \end{cases}$$

$$\sigma_i^{(t+1)} = \text{Sign} \left[ \sum_{\mu=1}^K \xi_i^\mu f \left( \sum_{j \neq i} \xi_j^\mu \sigma_j^{(t)} \right) \right]$$

We will initialize the network in the state  $\sigma_i^{(0)} = \xi_i^1$

$$\begin{aligned} \sigma_i^{(t+1)} &= \text{Sign} \left[ \xi_i^1 f \left( \sum_{j \neq i}^D \xi_j^1 \xi_j^1 \right) + \sum_{\mu=2}^K \xi_i^\mu f \left( \sum_{j \neq i}^D \xi_j^\mu \xi_j^1 \right) \right] \\ &= \text{Sign} \left[ \underbrace{\xi_i^1 f(D-1)}_{\text{signal}} + \underbrace{\sum_{\mu=2}^K \xi_i^\mu f \left( \sum_{j \neq i}^D \xi_j^\mu \xi_j^1 \right)}_{\text{noise}} \right] \end{aligned}$$

# How many memories can we store?

Imagine that memories are random binary vectors

$$\xi_i^\mu = \begin{cases} +1, & \text{with probability } \frac{1}{2} \\ -1, & \text{with probability } \frac{1}{2} \end{cases} \quad \langle \xi_i^\mu \rangle = 0, \quad \langle \xi_i^\mu \xi_j^\nu \rangle = \delta^{\mu\nu} \delta_{ij}$$

$$\sigma_i^{(t+1)} = \text{Sign} \left[ \sum_{\mu=1}^K \xi_i^\mu f \left( \sum_{j \neq i} \xi_j^\mu \sigma_j^{(t)} \right) \right]$$

We will initialize the network in the state  $\sigma_i^{(0)} = \xi_i^1$

$$\begin{aligned} \sigma_i^{(t+1)} &= \text{Sign} \left[ \xi_i^1 f \left( \sum_{j \neq i}^D \xi_j^1 \xi_j^1 \right) + \sum_{\mu=2}^K \xi_i^\mu f \left( \sum_{j \neq i}^D \xi_j^\mu \xi_j^1 \right) \right] \\ &= \text{Sign} \left[ \underbrace{\xi_i^1 f(D-1)}_{\text{signal}} + \underbrace{\sum_{\mu=2}^K \xi_i^\mu f \left( \sum_{j \neq i}^D \xi_j^\mu \xi_j^1 \right)}_{\text{noise}} \right] \end{aligned}$$

?  $\xi_i^1$

initial state is stable



# Information storage capacity

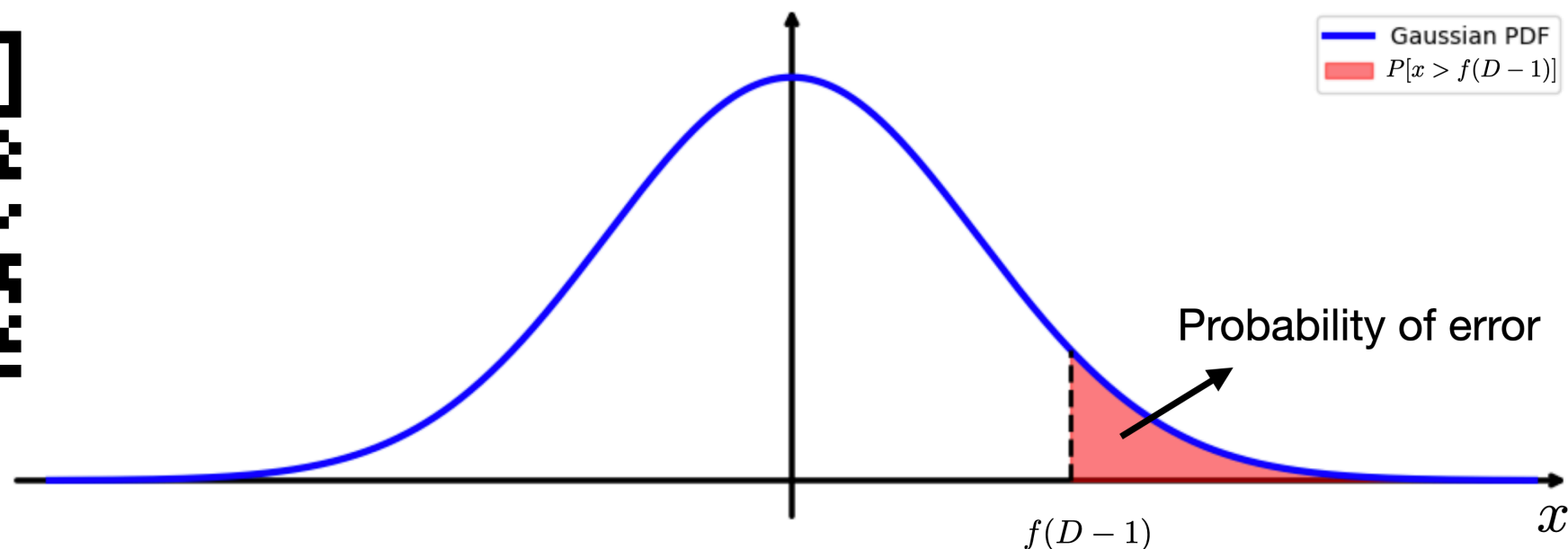
Our noise is a Gaussian random variable with zero mean and variance  $\Sigma^2$

$$\langle \text{noise} \rangle = 0$$

$$\Sigma^2 = \langle \text{noise}^2 \rangle = (2n - 3)!! K D^{n-1}$$

$$\text{Sign} \left[ \underbrace{\xi_i^1 f(D-1)}_{\text{signal}} + \underbrace{\sum_{\mu=2}^K \xi_i^\mu f\left(\sum_{j \neq i}^D \xi_j^\mu \xi_j^1\right)}_{\text{noise}} \right] \stackrel{?}{=} \xi_i^1$$

$$E = - \sum_{\mu=1}^K F \left( \sum_{i=1}^D \xi_i^\mu \sigma_i \right) \quad F(x) = x^n$$



# Information storage capacity

Let's compute the probability of error - bit flip

$$\text{Sign} \left[ \underbrace{\xi_i^1 f(D-1)}_{\text{signal}} + \underbrace{\sum_{\mu=2}^K \xi_i^\mu f\left(\sum_{j \neq i}^D \xi_j^\mu \xi_j^1\right)}_{\text{noise}} \right] \stackrel{?}{=} \xi_i^1$$

$$P(\text{error}) = \int_{f(D-1)}^{\infty} \frac{dx}{\sqrt{2\pi}\Sigma} e^{-\frac{x^2}{2\Sigma^2}} = \int_{\frac{f(D-1)}{\Sigma}}^{\infty} \frac{dy}{\sqrt{2\pi}} e^{-\frac{y^2}{2}} = g\left(\frac{f(D-1)}{\Sigma}\right) < 1\%$$

$$f(D-1) > \alpha \Sigma$$

$$\Sigma^2 = \langle \text{noise}^2 \rangle = (2n-3)!! K D^{n-1}$$

$$F(x) = x^n$$

$$K < K^{\max} = \frac{1}{\alpha^2 (2n-3)!!} D^{n-1}$$

# Information storage capacity

$$K < K^{\max} = \frac{1}{\alpha^2(2n-3)!!} D^{n-1}$$

Classical Hopfield network  $n=2$

$$K^{\max} \sim D$$

$$E = -\frac{1}{2} \sum_{\mu=1}^K \left( \sum_{i=1}^D \xi_i^{\mu} \sigma_i \right)^2 = -\frac{1}{2} \sum_{i,j=1}^D \sigma_i T_{ij} \sigma_j, \quad \text{where} \quad T_{ij} = \sum_{\mu=1}^K \xi_i^{\mu} \xi_j^{\mu}$$

Dense Associative Memory with  $n=3$

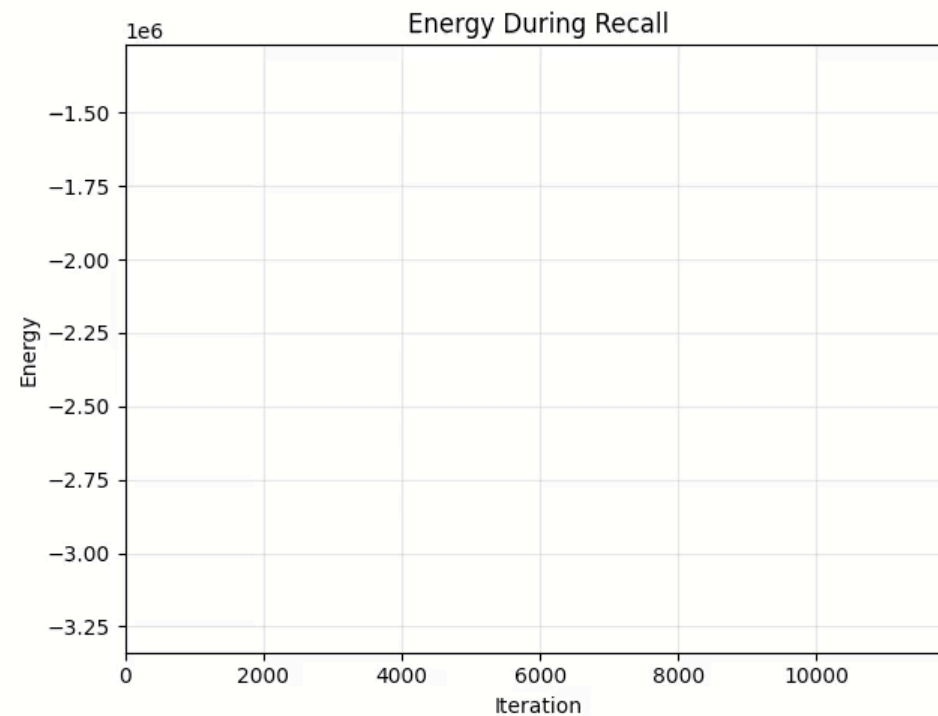
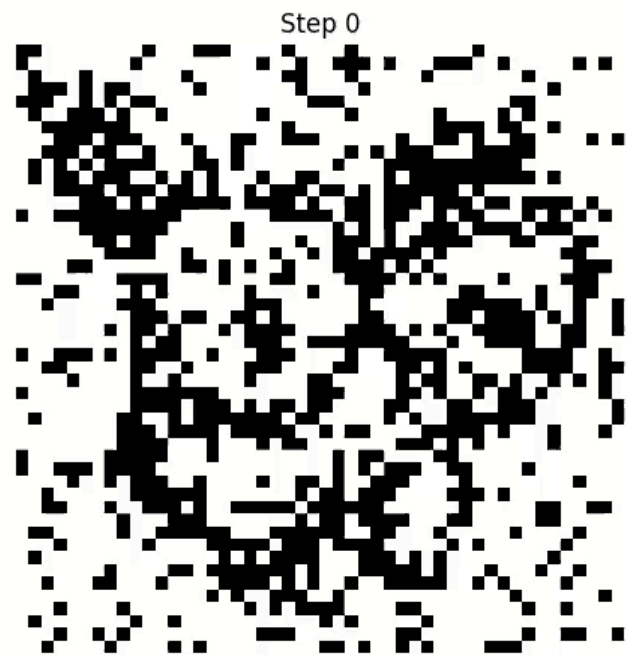
$$K^{\max} \sim D^2$$

$$E = -\frac{1}{3} \sum_{\mu=1}^K \left( \sum_{i=1}^D \xi_i^{\mu} \sigma_i \right)^3 = -\frac{1}{3} \sum_{i,j,k=1}^D T_{ijk} \sigma_i \sigma_j \sigma_k, \quad \text{where} \quad T_{ijk} = \sum_{\mu=1}^K \xi_i^{\mu} \xi_j^{\mu} \xi_k^{\mu}$$

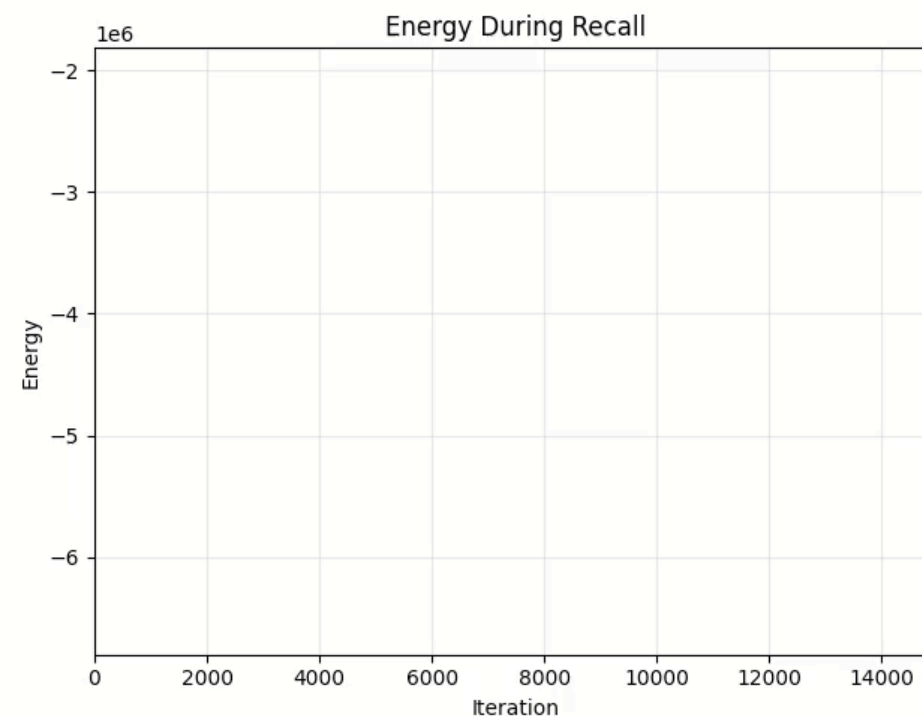
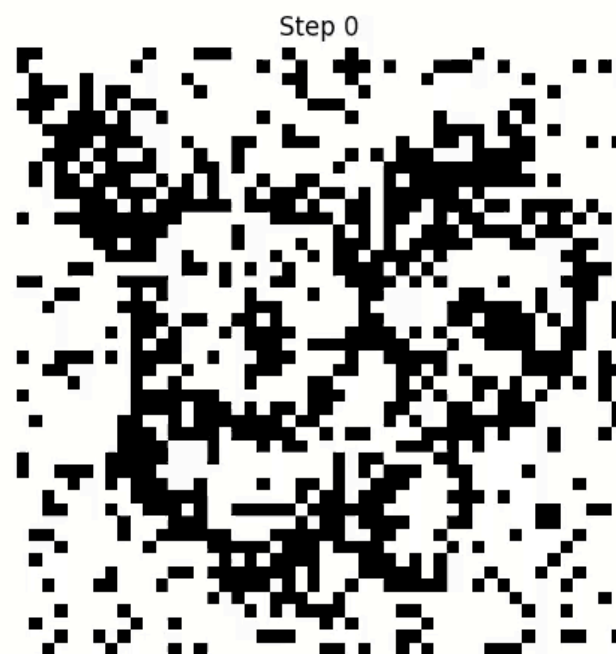
# Information storage capacity



## Classical Hopfield network $n=2$



$K=2$  memories stored



$K=6$  memories stored

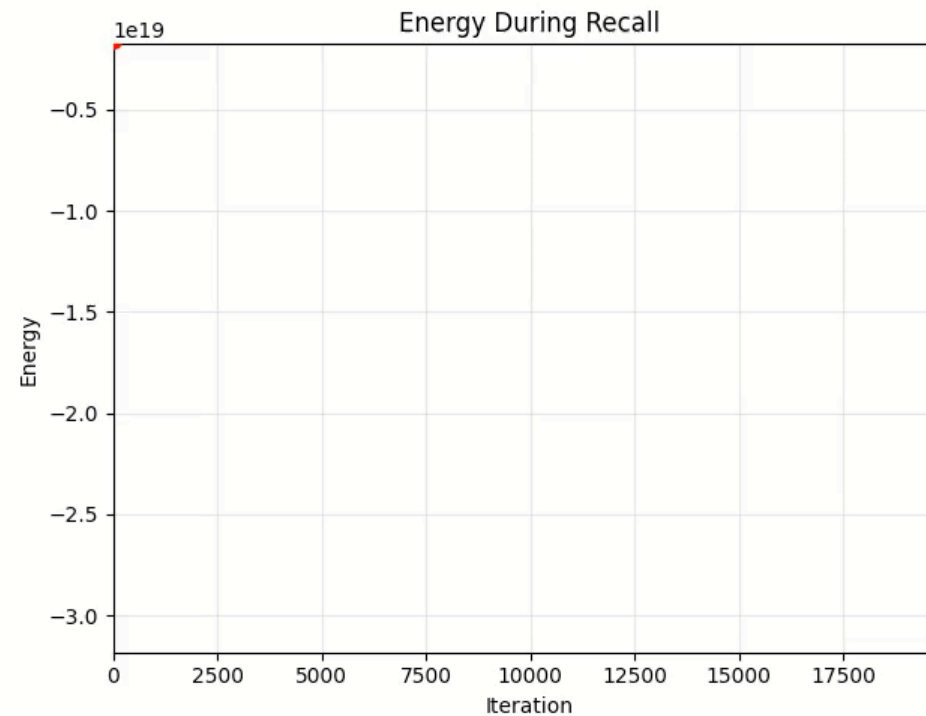
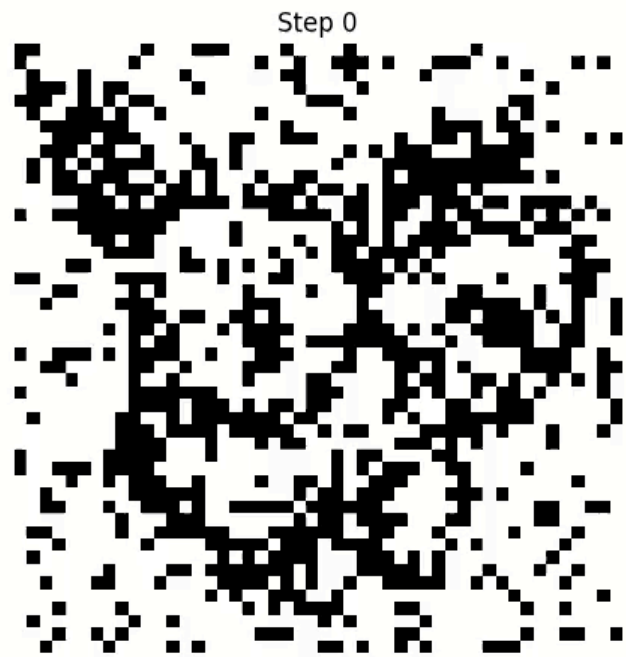




# Information storage capacity

Dense Associative Memory with  $n=6$

$$K^{\max} \sim D^5$$



$K=100$  memories stored

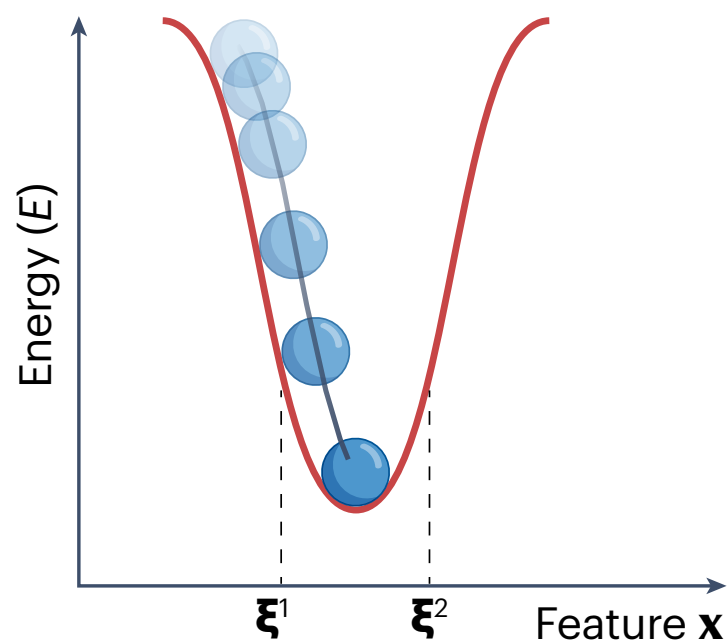


# Information storage capacity

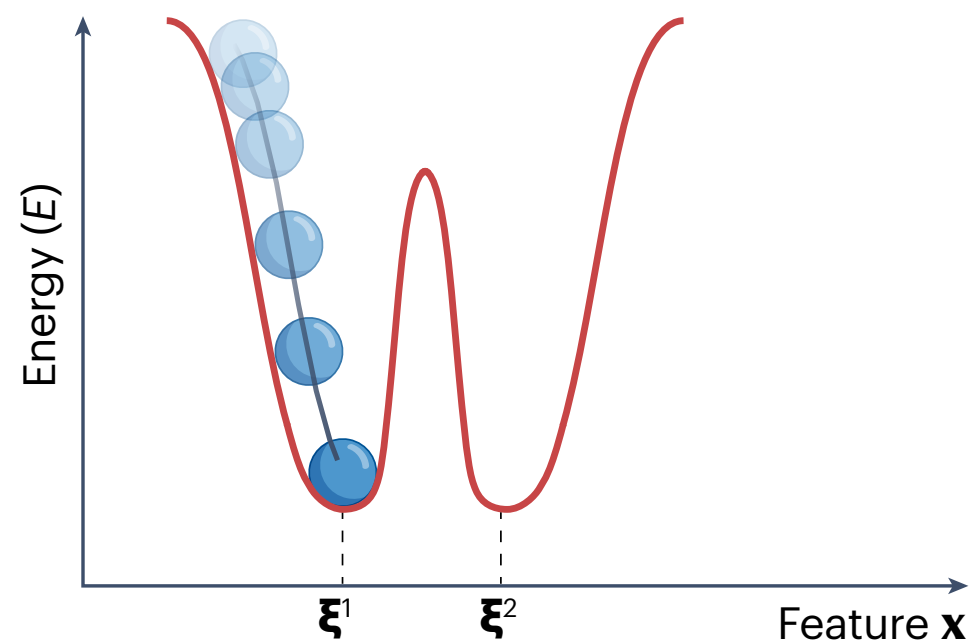
## What have we learned so far?

- The number of memories  $K$  is upper bounded.
- The Memory storage capacity heavily depends on the shape of the energy function  $F(\cdot)$  and the shape of the activation function  $f(\cdot)$ .
- The sharper the energy peaks around memories – the larger the memory storage capacity.

## Classical Hopfield network



## Dense Associative Memory



# General Dense Associative Memory

$$E = -Q \left[ \sum_{\mu=1}^K F \left( S[\boldsymbol{\xi}^{\mu}, \boldsymbol{\sigma}] \right) \right]$$

$S[\boldsymbol{x}, \boldsymbol{x}']$  - similarity function

$F(\cdot)$  - separation function

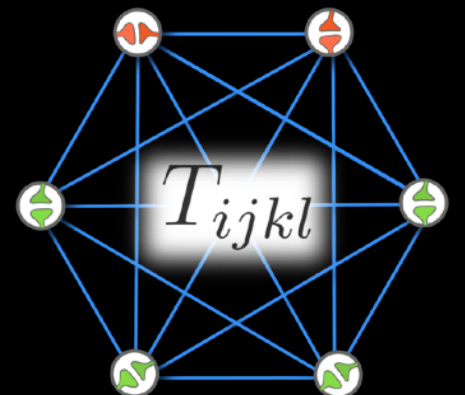
$Q(\cdot)$  - scalar monotone function



# Failure of Memory and Generative AI

*Dmitry Kroto*    *Benjamin Hoover*    *Parikshit Ram*

$$E = - \sum_{\mu=1}^K F \left( \sum_{i=1}^D \xi_i^{\mu} \sigma_i \right)$$





When someone—especially you—reminds me of that day, I remember that it was you who told me about the murder, or at least that's how I remember it. <...> I suppose you... Or rather, I know that you came downstairs and told me that you heard it on the news. I don't know what time it happened. There, in that hole, in <Name of the Place>, it was easy to lose track of time. <...> I had already been working for quite a while and was very focused on what I was doing when you suddenly interrupted me, saying that you had heard something. I'm sure it was you who said: "The President has been killed, or rather, shot—he's been shot." Then I probably looked up and asked: "What?" And you replied: "Kennedy—he was shot." I said: "What do you mean? Where?" And you said you didn't know...



Roger Brown



James Kulik

EF Loftus, *Memory.*, 1988  
NAS, *Biographical Memoirs*, 1999

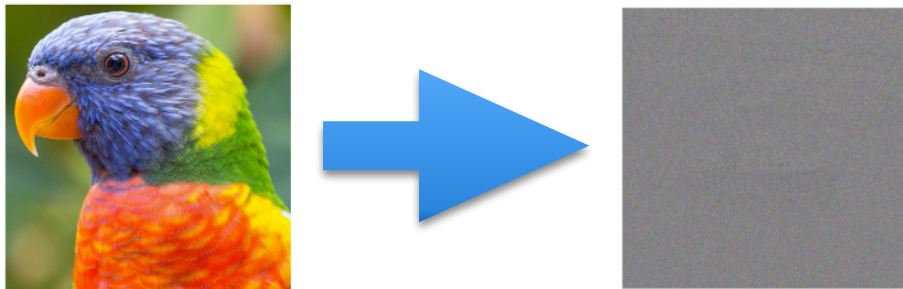
Misremembering is a failure of human memory in which multiple observed events (training data) blend together and form novel memories, which are different from any of the observed events (training data points).

Misremembering leads to  
creativity

# Diffusion Models

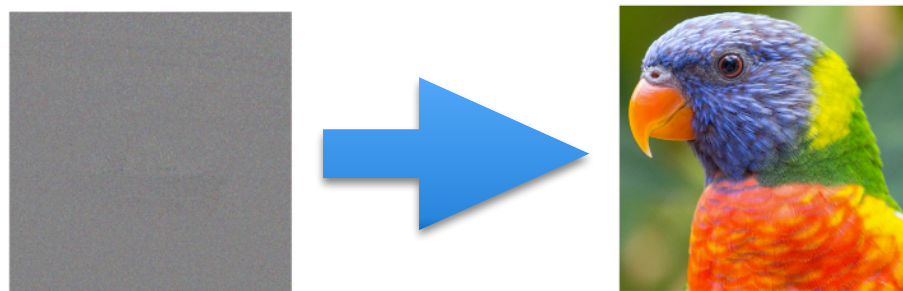
Forward process:

$$d\mathbf{x}_t = g(t)d\mathbf{w}_t$$



Reverse process:

$$d\mathbf{x}_t = -g(t)^2 \nabla_{\mathbf{x}_t} \log p_t(\mathbf{x}_t) dt + g(t) d\mathbf{w}_t$$



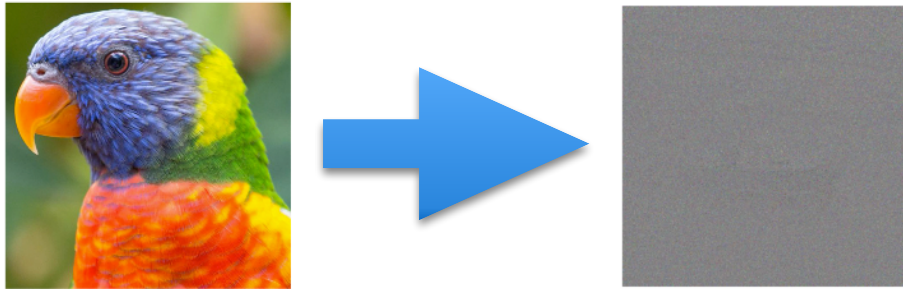
Neural network

$$s_{\theta}(\mathbf{x}, t) = \nabla_{\mathbf{x}_t} \log p_t(\mathbf{x}_t)$$

# Diffusion Models

Forward process:

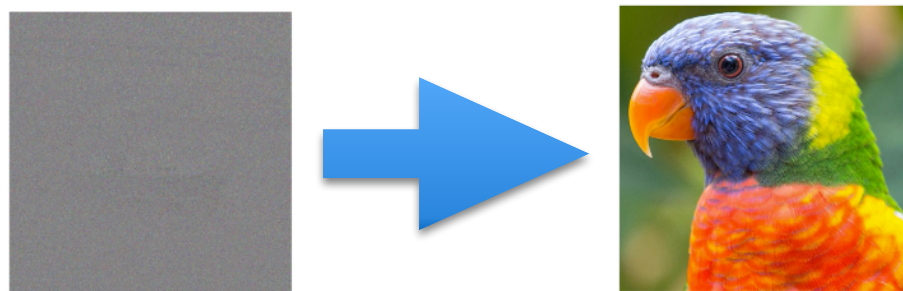
$$d\mathbf{x}_t = g(t)d\mathbf{w}_t$$



Training of neural network = writing information into the memory

Reverse process:

$$d\mathbf{x}_t = -g(t)^2 \nabla_{\mathbf{x}_t} \log p_t(\mathbf{x}_t) dt + g(t) d\mathbf{w}_t$$



Reverse process = attempt of memory recall

Neural network

$$s_{\theta}(\mathbf{x}, t) = \nabla_{\mathbf{x}_t} \log p_t(\mathbf{x}_t)$$



# Diffusion Models as DenseAM

$$p(\mathbf{x}_\tau, \tau) = \mathbb{E}_{\mathbf{y} \sim \text{data}} \left[ \frac{1}{(2\pi\sigma^2\tau)^{\frac{N}{2}}} \exp \left( -\frac{\|\mathbf{x}_\tau - \mathbf{y}\|_2^2}{2\tau\sigma^2} \right) \right]$$
$$p(\mathbf{y}) = \frac{1}{K} \sum_{\mu=1}^K \delta^{(N)}(\mathbf{y} - \boldsymbol{\xi}^\mu)$$

$$p(\mathbf{x}_\tau, \tau) \approx \frac{1}{K} \sum_{\mu=1}^K \frac{1}{(2\pi\sigma^2\tau)^{\frac{N}{2}}} \exp \left( -\frac{\|\mathbf{x}_\tau - \boldsymbol{\xi}^\mu\|_2^2}{2\tau\sigma^2} \right)$$

# Diffusion Models as DenseAM

$$p(\mathbf{x}_\tau, \tau) = \mathbb{E}_{\mathbf{y} \sim \text{data}} \left[ \frac{1}{(2\pi\sigma^2\tau)^{\frac{N}{2}}} \exp \left( -\frac{\|\mathbf{x}_\tau - \mathbf{y}\|_2^2}{2\tau\sigma^2} \right) \right]$$
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# Diffusion Models as DenseAM

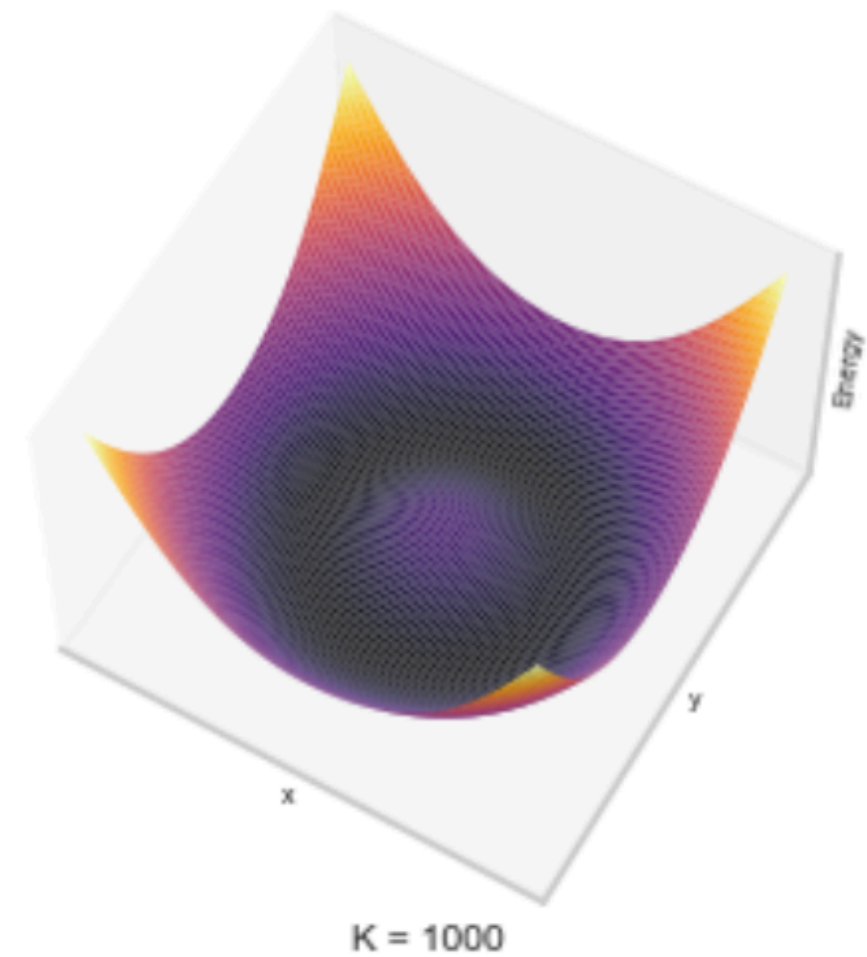
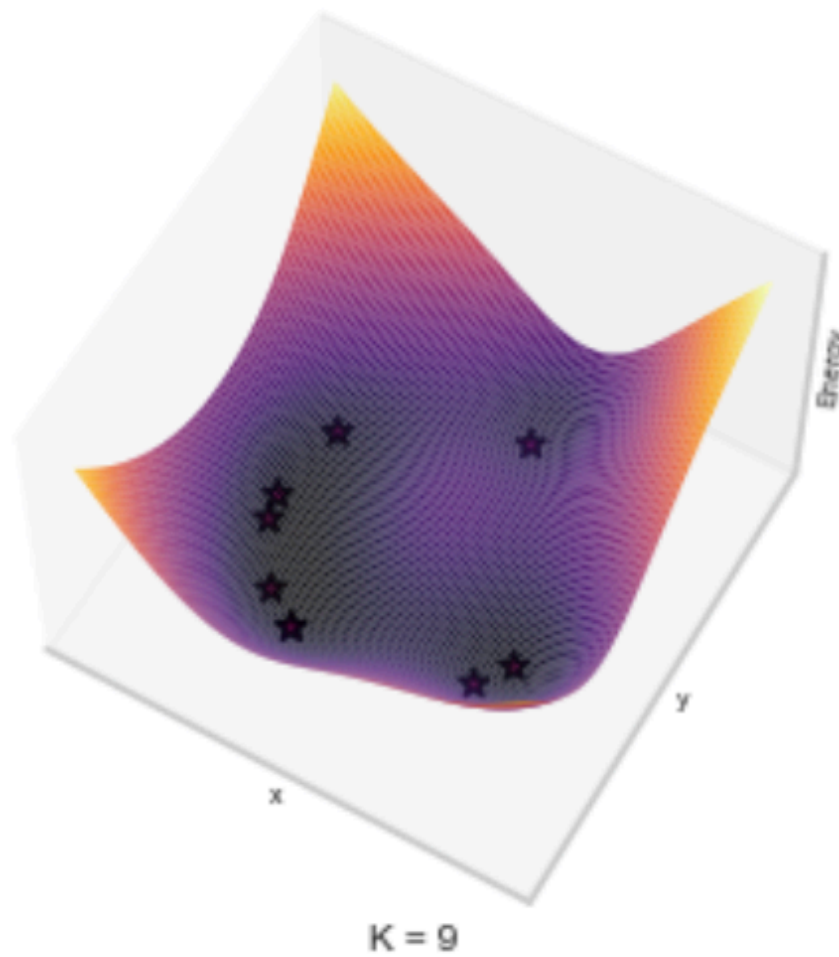
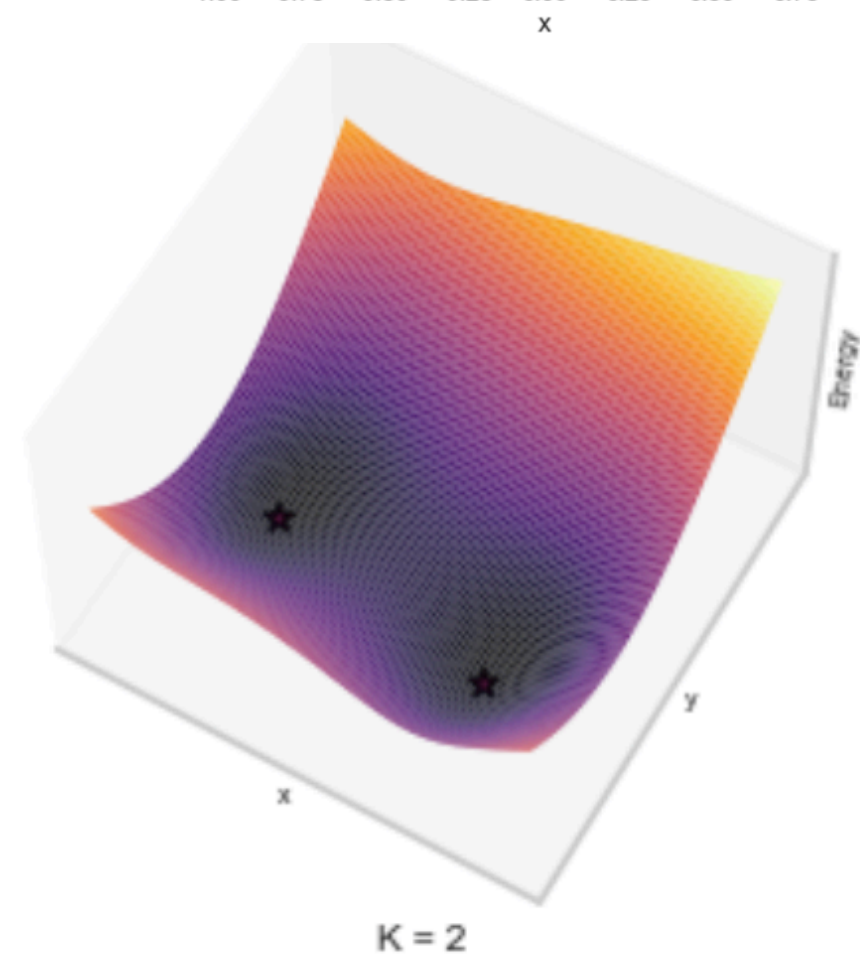
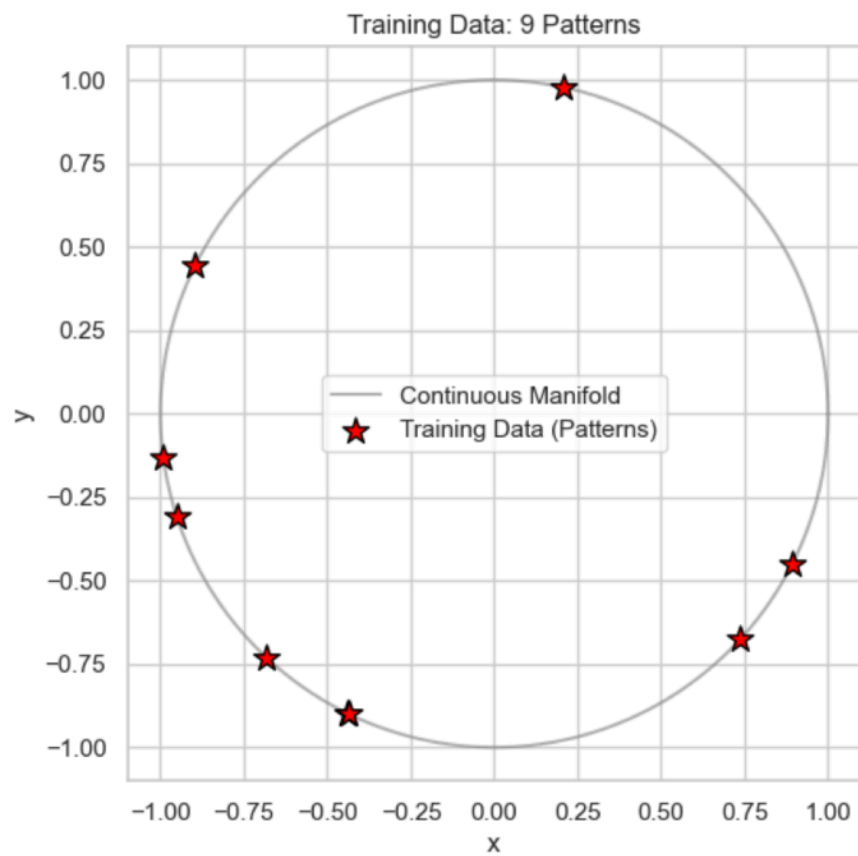
$$p(\mathbf{x}_\tau, \tau) = \mathbb{E}_{\mathbf{y} \sim \text{data}} \left[ \frac{1}{(2\pi\sigma^2\tau)^{\frac{N}{2}}} \exp \left( -\frac{\|\mathbf{x}_\tau - \mathbf{y}\|_2^2}{2\tau\sigma^2} \right) \right]$$
$$p(\mathbf{y}) = \frac{1}{K} \sum_{\mu=1}^K \delta^{(N)}(\mathbf{y} - \boldsymbol{\xi}^\mu)$$

$$p(\mathbf{x}_\tau, \tau) \approx \frac{1}{K} \sum_{\mu=1}^K \frac{1}{(2\pi\sigma^2\tau)^{\frac{N}{2}}} \exp \left( -\frac{\|\mathbf{x}_\tau - \boldsymbol{\xi}^\mu\|_2^2}{2\tau\sigma^2} \right) \stackrel{\text{def}}{=} \exp \left( -\frac{E^{\text{DM}}(\mathbf{x}_\tau, \tau)}{2\tau\sigma^2} \right)$$

$$E^{\text{DM}}(\mathbf{x}_\tau, \tau) = -2\tau\sigma^2 \log \left[ \sum_{\mu=1}^K \exp \left( -\frac{\|\mathbf{x}_\tau - \boldsymbol{\xi}^\mu\|_2^2}{2\tau\sigma^2} \right) \right]$$

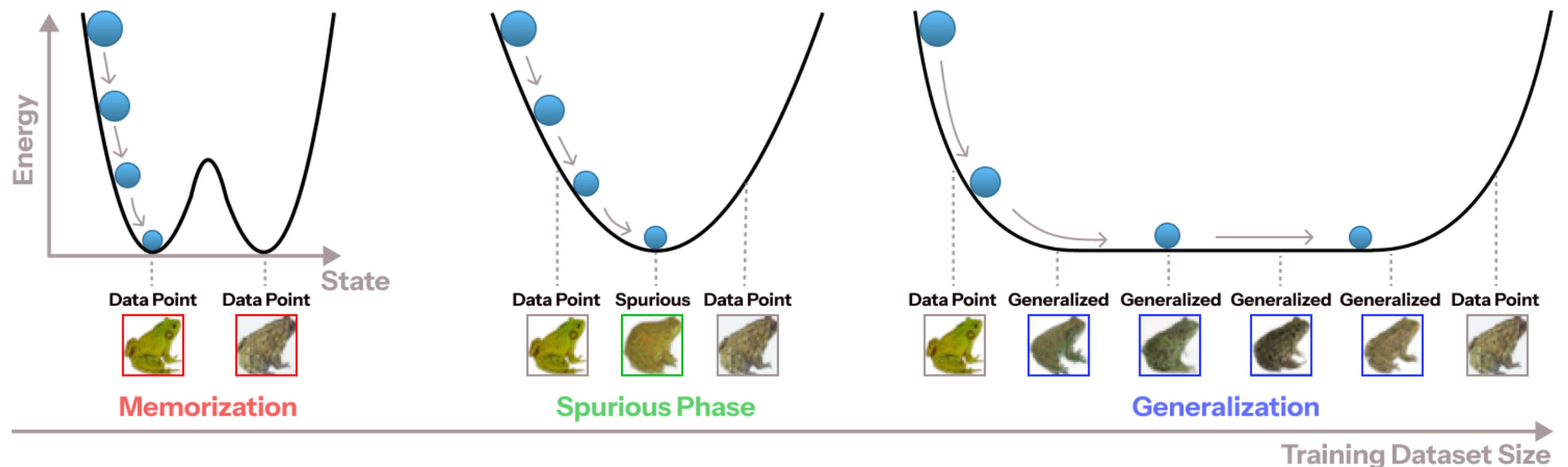
$$E^{\text{AM}}(\mathbf{x}) = -\beta^{-1} \log \left[ \sum_{\mu=1}^K \exp \left( -\beta \|\mathbf{x} - \boldsymbol{\xi}^\mu\|_2^2 \right) \right]$$

# Diffusion in 2D as an Associative Memory

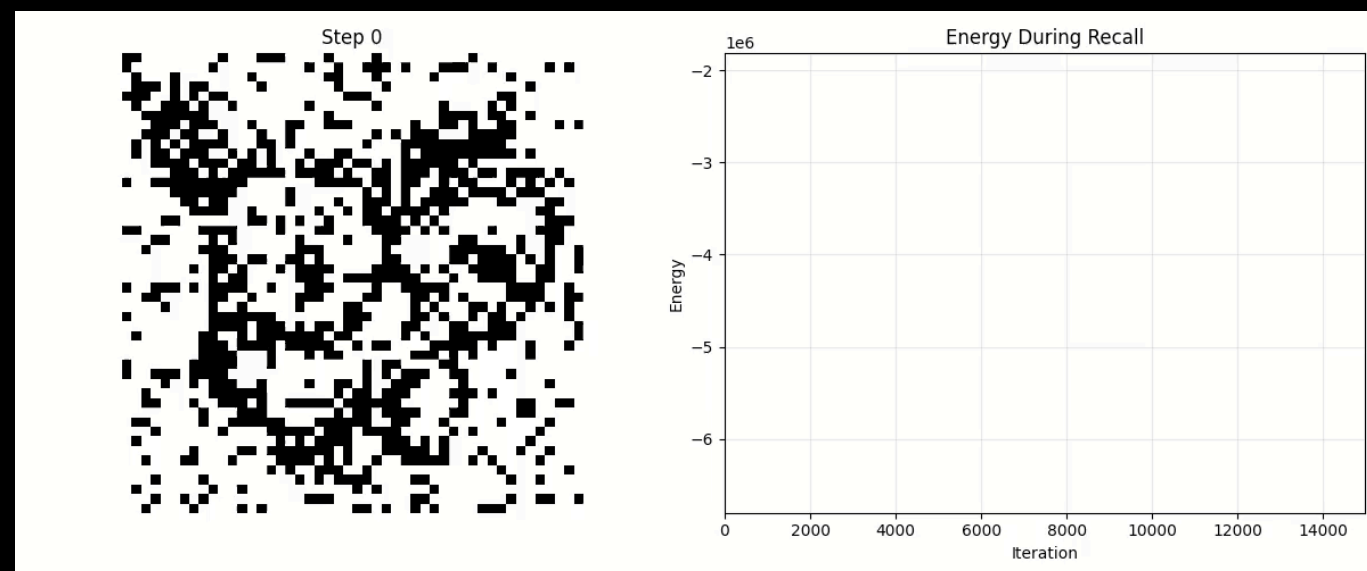


# AM-based description of DM

## predicts existence of spurious states



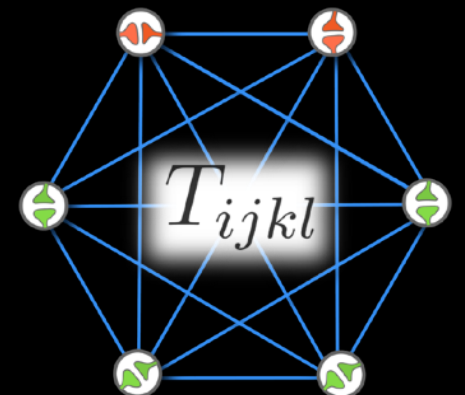
# Diffusion models are Dense Associative Memories above the critical memory storage capacity



# Modern Methods in Associative Memory

*Dmitry Krotov*   *Benjamin Hoover*   *Parikshit Ram*

$$E = - \sum_{\mu=1}^K F \left( \sum_{i=1}^D \xi_i^\mu \sigma_i \right)$$





Diffusion models are energy-based  
Associative Memories: neural  
network encodes the gradient of  
the energy

# Conclusions

- Dense Associative Memory perspective on DMs is a useful theoretical tool.
- Spurious states in DMs are real. They represent a new phase among generated samples that has been completely overlooked by the mainstream CS community.
- Misremembering can be mathematically conceptualized as a formation of spurious states.
- Emergence of spurious states is the earliest sign of creativity in DMs.

$$E = - \sum_{\mu=1}^K F \left( \sum_{i=1}^N \xi_i^{\mu} \sigma_i \right)$$

